

## Safety of monitoring antiretroviral therapy response in HIV-1 infection using CD4+ T cell count at long-term intervals

Segurança do monitoramento da resposta à terapia antirretroviral em pacientes com infecção pelo HIV-1 através da contagem de linfócitos T CD4+ a intervalos mais longos

Seguridad en la monitorización de la respuesta a la terapia antirretroviral ante el VIH-1, usando el recuento celular CD4+ T en intervalos a largo plazo

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### Abstract

The latest Brazilian guideline recommended the reduction of routine CD4+ T cell counts for the monitoring of patients with human immunodeficiency virus type 1 (HIV-1) under combination antiretroviral therapy (cART). The aim of this study was to evaluate the safety of monitoring response to cART in HIV-1 infection using routine viral load at shorter intervals and CD4+ T cell count at longer intervals. CD4+ T cell counts and HIV-1 viral load were evaluated in 1,906 HIV-1-infected patients under cART during a three-year follow-up. Patients were stratified as sustained, non-sustained and non-responders. The proportion of patients who showed a CD4+ T > 350cells/ $\mu$ L at study entry among those with sustained, non-sustained and non-responders to cART and who remained with values above this threshold during follow-up was 94.1%, 81.8% and 71.9%, respectively. HIV-1-infected patients who are sustained virologic responders and have initial CD4+ T cell counts > 350cells/ $\mu$ L showed a higher chance of maintaining the counts of these cells above this threshold during follow-up than those presenting CD4+ T  $\leq$  350cells/ $\mu$ L (OR = 39.9; 95%CI: 26.5-60.2;  $p < 0.001$ ). This study showed that HIV-1-infected patients who had sustained virologic response and initial CD4+ T > 350cells/ $\mu$ L were more likely to maintain CD4+ T cell counts above this threshold during the next three-year follow-up. This result underscores that the evaluation of CD4+ T cell counts in longer intervals does not impair the safety of monitoring cART response when routine viral load assessment is performed in HIV-1-infected patients with sustained virologic response.

CD4 Lymphocyte Count; HIV-1; Highly Active Antiretroviral Therapy; Viral Load

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## Introduction

Laboratory monitoring of patients infected with human immunodeficiency virus type 1 (HIV-1) includes CD4+ T lymphocyte counts and HIV-1 viral load (VL) quantification. CD4+ T cell is a laboratory biomarker to assess the degree of impairment of the immune system and has been used for stratifying individuals who are candidates to start combined antiretroviral therapy (cART) and for monitoring patients over time. This biomarker has been used to indicate immunization or prophylaxis for opportunistic infections, as well as to evaluate the recovery of immune response after an adequate cART <sup>1</sup>. RNA HIV-1 VL quantification is important to the early detection of virologic failure, which is characterized by two sequentially detectable results in the course of cART <sup>2</sup>.

Since 2006, the Brazilian clinical protocol for HIV-1 infection management recommended testing both CD4+ T cell and HIV-1 VL every 3-4 months <sup>3</sup>. In 2013, an update in this guideline recommended the evaluation of HIV-1 VL every 6 months <sup>2</sup>. In 2015, this same guideline was revised, establishing that patients under cART that are asymptomatic, with undetectable VL and CD4+ T cell > 350cells/ $\mu$ L in two consecutive determinations at an interval longer than six months, have no recommendations for CD4+ T cell medical request as it brings no benefit to clinical monitoring, as well as unnecessary costs <sup>4</sup>. At laboratory practice, we observed a certain resistance from clinicians and patients in accepting this recommendation.

In an attempt to contribute to this question, we considered performing a longitudinal study more relevant, encompassing a considerable number of individuals carefully followed from cART onset to evaluate the safety of monitoring cART response in HIV-1 infection using routine VL at short-term intervals and CD4+ T cell count at long-term intervals.

## Subjects and methods

A longitudinal follow-up study was performed with outpatient HIV-1-infected individuals with medical request for CD4+ T cell and HIV-1 VL who attended at the University Hospital of Londrina, Paraná State, Brazil, from 2012 to 2015. Data were obtained in convenience of time and place by consulting the Control System for Laboratory Tests (SISCEL), an on-line application developed by the Brazilian Ministry of Health for management of both CD4+ T cell and HIV-1 VL. This study was conducted in accordance with the *Declaration of Helsinki* and was approved by the local Ethics Research Committee (CAAE 44127215.0.0000.5231). Considering that this study was based on a database query, an informed consent was not obtained from the patients; however, to guarantee confidentiality, we consecutively identified the patient records/data by number prior to analysis.

All 1,906 patients included were aged  $\geq 15$  years old, under cART, and performing routine laboratory attendance with at least one annual examination of CD4+ T cell and HIV-1 VL from 2012 to 2015. CD4+ T cell were evaluated using flow cytometry (BD FACS Calibur; Biociences BD, San Jose, USA) and expressed as cells/ $\mu$ L. RNA HIV-1 VL were quantified using branched-DNA (bdDNA) with lower detection limit of 50 copies/mL (bdDNA, Versant HIV-1 RNA 3.0 Assay; Siemens Healthcare, Malvern, USA), or quantitative polymerase chain reaction (qPCR) with lower detection limit of 40 copies/mL (Abbott Real Time HIV-1; Abbott Molecular Inc., Des Plaines, USA), according to the available reagent sets provided by the Brazilian Public Network, and both results were expressed as copies/mL and  $\log_{10}$  copies/mL. CD4+ T cell count and HIV-1 VL were evaluated at baseline and throughout a three-year follow-up. For the purposes of this study, CD4+ T cell > 350cells/ $\mu$ L, and HIV-1 VL < 50copies/mL (< 1.70  $\log_{10}$  copies/mL) were considered as complete immunologic and virologic responses to cART, respectively <sup>5</sup>.

Patients were stratified into three groups based on their virologic response status: sustained responders (undetectable HIV-1 VL during follow-up), non-sustained responders (baseline undetectable HIV-1 VL, but detectable in the follow-up), and non-responders (baseline detectable HIV-1 VL that remained detectable during the follow-up).

## Statistical analysis

Data were analyzed using the IBM SPSS program version 20 (<https://www.ibm.com/>). We calculated the median and interquartile range (IQR) of 25% and 75% of continuous variables, and comparison between groups was conducted using the Kruskal-Wallis test. Demographic characteristics were categorized and described as absolute number (n) and relative frequency (%), and analyzed using chi-square test ( $\chi^2$ ). Logistic multinomial regression analysis was performed using variables with initial  $p < 0.25$ . Cochran-Mantel-Haenszel test was performed to compare independent groups of virologic response across the initial CD4+ T cell count with the immunologic outcome. The level of significance for the tests was set at 0.05.

## Results

### Demographic and laboratorial characteristics of subjects

The 1,906 HIV-1-infected patients came from about 100 municipalities of seven different macroregions of the Paraná State covered by representative units of the Ministry of Health, in the South region of Brazil. Of them, 1,127 (59.1%) lived in cities with more than 100,000 inhabitants, 988 (51.8%) were male, 1,459 (76.5%) were Caucasians, 1,149 (60.3%) aged 30-49 years old, and 855 (44.9%) had 4 to 7 years of educational level. At baseline, 1,499 (78.6%) patients showed undetectable HIV-1 VL ( $< 1.70 \log_{10}$  copies/mL), and 325 (21.7%) of them became non-sustained virologic responders during follow-up. Both immunologic and virologic responses to cART were found in 1,228 (64.4%) patients at baseline and in 1,062 (55.7%) during follow-up. Demographic variables were compared between the three groups of HIV-1 patients, as shown in Table 1. In the group of sustained virologic responders, we found higher frequency of patients that were male, older and with higher educational level than in the other two groups ( $p = 0.002$ ,  $p < 0.001$ ,  $p = 0.026$  respectively). Initial CD4+ T cells  $> 350$ cells/ $\mu$ L was a more frequent phenomenon in the sustained virologic group (83.5%) compared to those of non-sustained and non-virologic response (76% and 47.1%, respectively); moreover, they showed higher frequency of CD4+ T cells  $> 500$ cells/ $\mu$ L during follow-up than the other two groups ( $p < 0.001$ ).

The median time since diagnosis of HIV-1 infection was 7.4 years (IQR: 3.7-11.3) for the overall population and was not different between groups. HIV-1 patients who are sustained virologic responders were older than non-sustained or non-responders, with median of 46.0 years (IQR: 38.0-53.0), 43.0 years (IQR: 35.0-52.0), and 39.0 years (IQR: 32.0-46.0), respectively ( $p < 0.001$ ). Moreover, sustained virologic responders were under cART for a longer time than non-sustained or non-responders, with median of 5.8 years (IQR: 2.8-10.2), 4.9 years (IQR: 1.9-10.6) and 5.1 years (IQR: 1.9-11.2), respectively ( $p = 0.024$ ).

Multinomial logistic regression showed that non-responder patients had higher chances of being in the group of female and younger patients when compared to sustained virologic responders, as well as having baseline CD4+ T  $< 200$ cells/ $\mu$ L and worse CD4+ T recovery in the three-year follow up (Table 2).

### Immunologic response

We were able to observe a progressive CD4+ T increase in the group of sustained virologic response, when compared to the other groups. Sustained responders showed a CD4+ T mean of 639cells/ $\mu$ L in 2012 that increased to 672, 704 and 711cells/ $\mu$ L from 2013 to 2015, respectively. However, in non-sustained responders, CD4+ T mean showed a moderate increase from 559, 562, 570 to 571cells/ $\mu$ L, respectively, from 2012 to 2015. The same pattern was observed in non-responders with values of 378, 394, 411 and 414cells/ $\mu$ L from 2012 until 2015 (Figure 1).

CD4+ T was analyzed in the three groups of HIV-1-infected patients to verify if they could achieve and/or maintain values  $> 350$ cell/ $\mu$ L during the follow-up. We compared baseline CD4+ T cells with cART response in the next three-year follow-up and the results showed that patients with baseline CD4+ T  $> 350$ cells/ $\mu$ L that continued with the same immunologic response in the following years

**Table 1**

Demographic and clinical characteristics of patients infected with human immunodeficiency virus type 1 (HIV-1), according to their virologic response after combined antiretroviral therapy (cART), evaluated between 2012 and 2015.

Variables	All patients (N = 1,906)		Virologic response						p-value
	n	%	Sustained * (n = 1,174)		Non-sustained ** (n = 325)		Non-responder *** (n = 407)		
	n	%	n	%	n	%	n	%	
Age (years)									
15-29	169	8.9	67	5.7 a	39	12.0 b	63	15.5 b	< 0.001 #
30-39	519	27.2	283	24.1 a	83	25.5 a	153	37.6 b	
40-49	630	33.1	405	34.5 a	102	31.4 a	123	30.2 a	
≥ 50	588	30.8	419	35.7 a	101	31.1 a	68	16.7 b	
Time of diagnosis (years)									
< 5	659	34.6	388	33.0 a	124	38.2 a	147	36.1 a	0.116
5-9	593	31.1	381	32.5 a	86	26.5 b	126	31.0 a,b	
10-14	473	24.8	292	24.9 a	76	23.4 a	105	25.8 a	
≥ 15	181	9.5	113	9.6 a,b	39	12.0 a	29	7.1 b	
Time under cART (years)									
< 5	887	46.5	523	44.5 a	164	50.5 a	200	49.1 a	0.129
5-9	518	27.2	338	28.8 a	73	22.5 b	107	26.3 a,b	
10-14	402	21.1	245	20.9 a	71	21.8 a	86	21.1 a	
≥ 15	99	5.2	68	5.8 a	17	5.2 a	14	3.4 a	
Sex									
Female	918	48.2	529	45.1 a	177	54.5 b	212	52.1 b	0.002 #
Male	988	51.8	645	54.9 a	148	45.5 b	195	47.9 b	
Ethnicity									
Caucasian	1,459	76.5	911	77.6 a	245	75.4 a	303	74.4 a	0.374
Non-Caucasian	447	23.5	263	22.4 a	80	24.6 a	104	25.6 a	
Educational level (years)									
None	36	1.9	27	2.3 a	2	0.6 a	7	1.8 a	0.026 #
1-3	237	12.4	137	11.9 a	51	16.2 b	49	12.3 a,b	
4-7	855	44.9	525	45.5 a	137	43.6 a	193	48.5 a	
8-11	524	27.5	314	27.2 a	91	29.0 a	119	29.9 a	
≥ 12	213	11.2	150	13.0 a	33	10.5 a,b	30	7.5 b	
Not known	41	2.1							
Municipality of residence ##									
Small	540	28.3	331	28.2 a	93	28.6 a	116	28.5 a	0.826
Medium	239	12.5	143	12.2 a	47	14.5 a	49	12.0 a	
Large	1,127	59.1	700	59.6 a	185	56.9 a	242	59.5 a	
CD4+ T count (cells/μL)									
Baseline (2012)									
< 200	174	9.1	37	3.2 a	21	6.5 b	116	28.5 c	< 0.001 #
200-350	312	16.4	156	13.3 a	57	17.5 a	99	24.3 b	
351-500	425	22.3	255	21.7 a	86	26.5 a	84	20.6 a	
> 500	995	52.2	726	61.8 a	161	49.5 b	108	26.5 c	
Follow-up (2013-2015)									
< 200	130	6.8	18	1.5 a	13	4.0 b	99	24.3 c	< 0.001 #
200-350	246	12.9	94	8.0 a	49	15.1 b	103	25.3 c	
351-500	351	18.4	196	16.7 a	75	23.1 b	80	19.7 a,b	
> 500	1,179	61.9	866	73.8 a	188	57.8 b	125	30.7 c	
CD4+ T slope (cells/μL/year)									
< -100	160	8.4	93	7.9 a	36	11.1 a	31	7.6 a	0.001*
-99 to 0	632	33.2	358	30.5 a	113	34.8 a,b	161	39.6 b	
+1 to +99	810	42.5	507	43.2 a	134	41.2 a	169	41.5 a	
> +100	304	15.9	216	18.4 a	42	12.9 b	46	11.3 b	

\* Sustained virologic responders: undetectable initial viral load (year 2012) and undetectable followed-up viral load (years 2013-2015);

\*\* Non-sustained virologic responder: undetectable initial viral load (year 2012) and detectable followed-up viral load (years 2013-2015);

\*\*\* Non-virologic responder: detectable initial viral load (year 2012);

# Significant at  $p < 0.05$ ; differences are indicated by letters (a, b, c);

## Municipality size: small (< 50,000 inhabitants); medium (50-100,000 inhabitants); large (> 100,000 inhabitants).

**Table 2**

Multinomial logistic regression contrasting groups based on virologic response after combined antiretroviral therapy.

Sustained responder * (reference outcome group)	Non-sustained responder **		Non-responder ***	
	OR (95CI)	p-value	OR (95%CI)	p-value
Age (years)				
15-29	2.57 (1.58-4.19)	< 0.001	8.52 (5.05-14.35)	< 0.001
30-39	1.25 (0.88-1.78)	0.216	4.04 (2.74-5.95)	< 0.001
40-49	1.04 (0.75-1.43)	0.831	2.03 (1.39-2.98)	< 0.001
≥ 50	1.00		1.00	
Time of diagnosis (years)				
< 5	0.48 (0.23-1.02)	0.055	0.97 (0.40-2.36)	0.946
5-9	0.47 (0.23-0.96)	0.038	1.17 (0.51-2.72)	0.710
10-14	0.52 (0.29-0.94)	0.031	1.14 (0.54-2.41)	0.723
≥ 15	1.00		1.00	
Time on cART (years)				
< 5	2.35 (0.94-5.84)	0.066	1.04 (0.35-3.08)	0.945
5-9	1.79 (0.74-4.35)	0.197	1.03 (0.36-2.97)	0.956
10-14	1.98 (0.91-4.33)	0.085	1.33 (0.50-3.52)	0.572
≥ 15	1.00		1.00	
Sex				
Male	1.00		1.00	
Female	1.49 (1.15-1.93)	0.003	1.56 (1.19-2.05)	0.001
Educational level (years)				
None	0.37 (0.08-1.68)	0.199	1.50 (0.50-4.52)	0.470
1-3	1.65 (0.98-2.77)	0.059	1.66 (0.92-3.02)	0.094
4-7	1.13 (0.73-1.76)	0.569	1.62 (0.99-2.64)	0.055
8-11	1.33 (0.84-2.09)	0.225	1.72 (1.03-2.86)	0.039
≥ 12	1.00		1.00	
CD4+ T count (cells/μL)				
Baseline (2012)				
< 200	1.77 (0.79-3.95)	0.162	3.40 (1.66-6.94)	0.001
200-350	1.17 (0.68-1.99)	0.574	1.55 (0.90-2.66)	0.117
351-500	1.36 (0.94-1.97)	0.100	1.55 (1.03-2.33)	0.037
> 500	1.00		1.00	
Follow-up (2013-2015)				
< 200	1.93 (0.70-5.29)	0.201	18.82 (8.29-42.74)	< 0.001
200-350	2.11 (1.20-3.73)	0.010	5.98 (3.44-10.40)	< 0.001
351-500	1.58 (1.07-2.35)	0.022	2.37 (1.56-3.62)	< 0.001
> 500	1.00		1.00	
CD4+ T slope (cells/μL/year)				
< -100	2.13 (1.21-3.74)	0.009	1.76 (0.94-3.30)	0.077
-99 to 0	1.55 (0.99-2.42)	0.052	1.29 (0.80-2.08)	0.293
+1 to +99	1.20 (0.80-1.81)	0.381	1.05 (0.68-1.61)	0.833
> +100	1.00		1.00	

95%CI: confidence interval; OR: odds ratio.

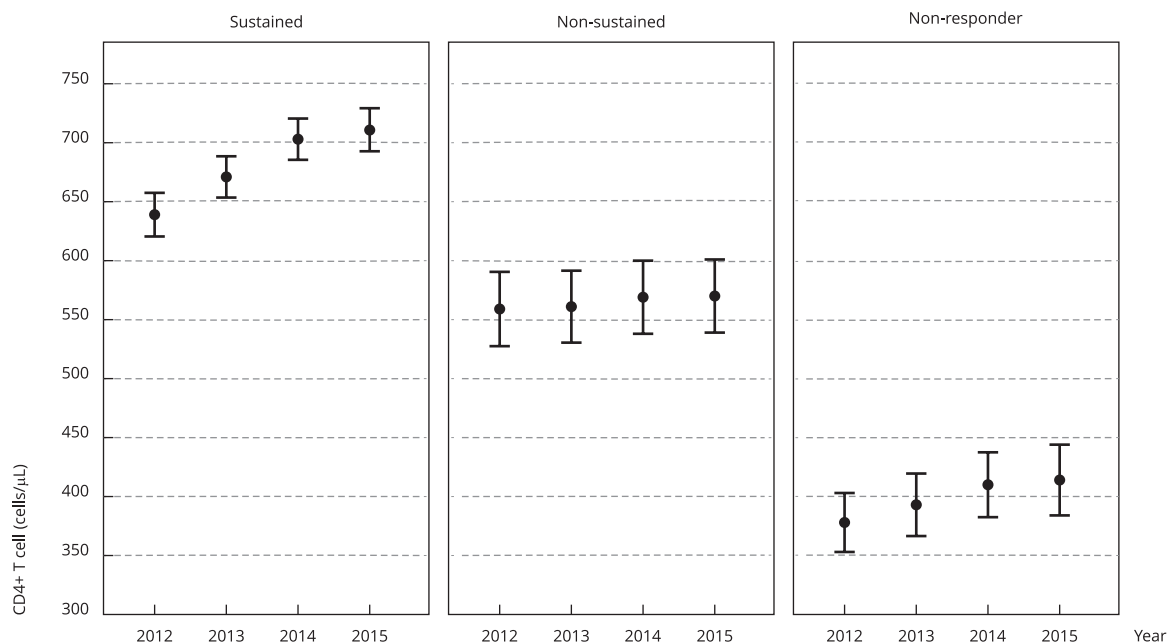
\* Sustained virologic responders: undetectable initial viral load (year 2012) and undetectable followed-up viral load (years 2013-2015);

\*\* Non-sustained virologic responder: undetectable initial viral load (year 2012) and detectable followed-up viral load (years 2013-2015);

\*\*\* Non-virologic responder: detectable initial viral load (year 2012).

**Figure 1**

CD4+ T cell count (cells/ $\mu$ L) obtained during the follow-up period (2012 to 2015), according to virologic response to combined antiretroviral therapy. The values are expressed as mean and 95% confidence interval (95%CI).



were 94.1%, 81.8% and 71.9% among the sustained, non-sustained and non-virologic responders to therapy, respectively. Concomitant sustained virologic and immunologic responses had more chance to be observed in female (OR = 50.5; 95%CI: 25.8-98.9) than male patients (OR = 33.8; 95%CI: 20.1-56.8) (Table 3).

## Discussion

In this study, we evaluated the peripheral blood CD4+ T cells and HIV-1 VL in chronic HIV-1-infected patients under cART during three-year follow-up. We conducted a convenience sampling based on our laboratory routine for cART monitoring, in agreement with the regulations of the Brazilian Ministry of Health for rational use of CD4+ T cell testing by public laboratories by the time this study was performed. One important finding of this study was that 94.1% of patients that were sustained virologic responders with initial CD4+ T > 350cells/ $\mu$ L maintained the appropriate immunologic response during the three-year follow-up. This result underscored that the medical request of CD4+ T cell may be requested at long-term intervals or even abolished from medical practice without any impairment for evaluating cART response in these patients. Another finding was the high rates of patients that were responders under cART. At baseline, 64.4% of patients were both virologic and immunologic responders, demonstrating that the use of cART has achieved its goals of VL suppression and immune response restoration in a large amount of patients.

In the period of 2000 to 2011, the unitary cost of CD4+ T cell counts and HIV-1 VL measurement paid by Brazilian governmental sources was of about USD 17.62 and USD 20.34, respectively <sup>6</sup>. We

**Table 3**

Immunologic outcome, expressed as CD4+ T cell count, in male and female patients infected with human immunodeficiency virus type 1 (HIV-1), according to their virologic response considering a threshold of 350cells/ $\mu$ L.

Virologic response/Initial CD4 count (cells/ $\mu$ L)	Outcome CD4+ T cell count				Total		OR (95%CI)	p-value
	$\leq$ 350cells/ $\mu$ L		$>$ 350cells/ $\mu$ L		n	%		
	n	%	n	%	n	%		
<b>Male patients</b>								
Sustained *								
$\leq$ 350	87	72.5	33	27.5	120	100.0		
$>$ 350	38	7.2	487	92.8	525	100.0	33.8 (20.1-56.8)	$<$ 0.001
Non-sustained **								
$\leq$ 350	30	81.1	7	18.9	37	100.0		
$>$ 350	18	16.2	93	83.8	111	100.0	22.1 (8.4-58.1)	$<$ 0.001
Non-responder ***								
$\leq$ 350	102	91.1	10	8.9	112	100.0		
$>$ 350	25	30.1	58	69.9	83	100.0	23.7 (10.6-52.7)	$<$ 0.001
Total	300	30.4	688	69.6	988	100.0		
<b>Female patients</b>								
Sustained *								
$\leq$ 350	51	69.9	22	30.1	73	100.0		
$>$ 350	20	4.4	436	95.6	456	100.0	50.5 (25.8-98.9)	$<$ 0.001
Non-sustained **								
$\leq$ 350	33	80.5	8	19.5	41	100.0		
$>$ 350	27	19.9	109	80.1	136	100.0	16.6 (6.9-40.1)	$<$ 0.001
Non-responder ***								
$\leq$ 350	89	86.4	14	13.6	103	100.0		
$>$ 350	29	26.6	80	73.4	109	100.0	17.5 (8.6-35.5)	$<$ 0.001
Total	249	27.1	669	72.9	918	100.0		
<b>All patients</b>								
Sustained *								
$\leq$ 350	138	71.5	55	28.5	193	100.0		
$>$ 350	58	5.9	923	94.1	981	100.0	39.9 (26.5-60.2)	$<$ 0.001
Non-sustained **								
$\leq$ 350	63	80.8	15	19.2	78	100.0		
$>$ 350	45	18.2	202	81.8	247	100.0	18.8 (9.8-36.1)	$<$ 0.001
Non-responder ***								
$\leq$ 350	191	88.8	24	11.2	215	100.0		
$>$ 350	54	28.1	138	71.9	192	100.0	20.3 (12.0-34.5)	$<$ 0.001
Total	549	28.8	1,357	71.2	1906	100.0		

95%CI: confidence interval; OR: odds ratio.

\* Sustained virologic responders: undetectable initial viral load (year 2012) and undetectable followed-up viral load (years 2013-2015);

\*\* Non-sustained virologic responder: undetectable initial viral load (year 2012) and detectable followed-up viral load (years 2013-2015);

\*\*\* Non-virologic responder: detectable initial viral load (year 2012).

believe that the resistance of physicians in maintaining medical requests of CD4+ T cell exams reflect the fear that appropriate initial values of this cell could fall without any possibility of following this decrease. A similar study observed a decrease of only 9% below the threshold of 350cells/ $\mu$ L, and in 61.5% of cases it was a transient situation <sup>7</sup>. Using different criteria for virologic and immunologic responses, other studies also reported high maintenance rates above the defined threshold, and the low CD4+ T cell count resumed increase without intervention in most of the cases <sup>8,9,10</sup>. This result confirms that there is no impairment in the treatment of HIV-1-infected patients when CD4+ T cells



were not verified as frequently as before, and that maintaining the old recommendation generates unnecessary costs. Furthermore, these patients evaluate their HIV-1 VL every six months to verify any risk of virologic failure, and when an increase in VL is observed, it is recommended that a new CD4+ T cells evaluation be performed.

One other finding of that study was that the frequency of sustained virologic response was lower amongst female patients; however, once immunologic response was activated, female patients showed a higher chance of maintaining both immunologic and sustained virologic responses when compared to male patients. One study also showed better virologic outcome in male HIV-1 patients than their female partners<sup>11</sup>. Other two studies found better immune reconstitution among women<sup>12,13</sup>. In another study, CD4+ T cell increase was higher in female patients during the 5-year follow up, but there was a certain delay to achieve virologic suppression among women, in that frequencies of VL < 50copies/mL were significantly lower among women in the first and second years of follow up, but these frequencies were similar for both sexes at the end of the evaluation period<sup>14</sup>. Regarding the ethnicity of HIV-1 patients enrolled in our study, no difference was observed between Caucasians and non-Caucasians regarding therapy response. Different results have been reported, such as a previous study showing that Hispanic and Caucasian women had significantly lower hazards of virologic failure than African American women, and those who experienced virologic failure were more likely to be African American, younger, have lower CD4+ T cells, and history of virologic failure<sup>15</sup>. Women were also associated with increased mortality and significant disparities in cART adoption among HIV-1-infected individuals receiving health care. Women presented younger age and higher CD4+ T cells as well as lower baseline HIV-1 RNA VL than men. Black people presented lower CD4+ T cells and higher HIV-1 RNA VL than non-Black people<sup>16</sup>. The prognosis of HIV-1 infection is poorer for Black people than Caucasians in the United States. Minority racial/ethnic groups (mostly Black people) are more likely to discontinue cART earlier and experience virologic failure. These differences may be explained by notably common characteristics in Black HIV-1-infected individuals, such as young age, lower education level, pretreatment characteristics (higher HIV-1 RNA VL and lower CD4+ T), non-adherence to medication, and lower access to health care than non-Black HIV-1 infected individuals<sup>17</sup>.

Peripheral CD4+ T cell increase under treatment is a three-stage process, whichever the regimen of drugs may be, as follows: (1) during the first 1-6 months of cART, the average rate of reconstitution is of 20-30cells/ $\mu$ L monthly; (2) a second stage that remains until the end of the second year of therapy represents an increase of about 5-10cells/ $\mu$ L monthly; (3) the third stage that extends beyond the second year for at least 7 years shows an increase of about 2-5cells/ $\mu$ L monthly<sup>18</sup>. This explains the progressive increase in CD4+ T cells observed from one year to the other in all three groups of patients of this study. This result is consistent with a study carried out in an Ugandan cohort where CD4+ T cell levels increased continuously throughout the 10-year follow-up, and 83.8% of the patients reached CD4+ T cell amounts above the lower reference value<sup>19</sup>. In an Asian study with 6,521 HIV-1-infected patients, there was a positive increase over time in CD4+ T cells gains and in the proportion of patients with VL suppression<sup>20</sup>.

In this study, the sustained virologic responders to cART were most likely to occur among older than younger patients. Other studies have demonstrated an association between age and virologic suppression<sup>21,22</sup>. It is not well explained why older subjects develop better virologic responses to cART than younger subjects, but some factors are better adherence to the treatment, more favorable pharmacokinetics or better access to health care for older people than young people. Maturity and personal stability in older people may contribute to adherence, this way decreasing the risk of virologic failure when compared to younger individuals<sup>23,24,25</sup>.

According to previous report, sub-optimal CD4+ T cell recovery after initiation of suppressive cART is a phenomenon observed with a prevalence of 7%-41%<sup>24</sup>. Most patients in virologic response to cART exhibit sustained increases in peripheral CD4+ T cells, but a significant subset of individuals, 15-40%, clearly do not achieve this desired outcome and fail to achieve a satisfactory CD4+ T cell reconstitution<sup>26</sup>. It appears to be particularly true among those individuals who delayed the cART until their CD4+ T cells decrease to levels below 200cells/ $\mu$ L, because they trend toward maintaining values that plateaus in the 200-350cells/ $\mu$ L range, even after up to ten years of effective therapy<sup>25</sup>. A Spanish study sampling cART naïve patients with initial CD4+ T < 200cells/ $\mu$ L and found that



69.9% and 64.4% reached immunologic and virologic response, respectively, at the end of the five-year follow-up<sup>27</sup>. About 32.7% of patients with CD4+ T < 100cells/ $\mu$ L and VL suppressed for up to 5-year follow-up failed to achieve CD4+ T > 350cells/ $\mu$ L, and the proportion of people reaching this threshold after one and three years of VL suppression was 14% and 59%, respectively<sup>28</sup>. Older age and more than one year of severe immune deficiency prior to start of sustained VL were associated with insufficient immunologic response and increased long term mortality<sup>29</sup>. Patients who start cART at a baseline CD4+ T > 350cells/ $\mu$ L have greater chance to achieve > 500cells/ $\mu$ L, which afford greater protection against clinical progression and better survival rates<sup>20,30</sup>.

Discordant immunologic and virologic responses are surrounded by many uncertainties, some of them related to the absence of a uniform definition of ideal immune response and to the limitations imposed by CD4+ T cells as the only marker of immune reconstitution available in daily practice<sup>31</sup>. If the definition of immune response is based exclusively on the CD4+ T cells reached rather than CD4+ T cells slope, the baseline CD4+ T cells certainly influence the level of immune response. CD4+ T cells response has been reported to be low when pre-therapeutic viremia is low, but the reasons for this correlation remain unclear<sup>18</sup>.

For patients presenting late to care, CD4+ T cells continue to play an important role in decisions about cART initiation and clinical management, and may remain an important laboratorial biomarker to monitor cART in settings where VL monitoring is still restricted<sup>32</sup>.

This study has some limitations that deserve to be discussed. We do not have any robust data about the regular use of cART by the patients as well as the presence or absence of symptoms at the time of examination. Therefore, it is neither possible to claim which patients have good adherence to cART nor whether the decrease in CD4+ T cells or the increase in VL were associated with clinical symptoms. Therefore, in this study it is not possible to attribute the absence of virologic and/or immunologic response to HIV-1 strain resistance or inadequate use of cART. Another limitation is the lack of data on the type of cART that was associated with increases in CD4+ T cells. Moreover, the presence of coinfections was not considered in this study, such as the hepatitis C virus (HCV), which has been associated with incomplete CD4+ T cells regeneration<sup>33,34</sup>.

Advantages of our study include its extension and broad range of patients originally from different settings of care and with wide variation in relevant clinical characteristics, such as mode of transmission, age and extent of immune suppression before starting cART. Therefore, our results may be applicable to patients with HIV-1 infection followed in other clinical centers in countries, which are sustained responders after starting cART.

## Conclusion

Considered together, the results underscore that HIV-1-infected patients that are sustained virologic responders and have initial CD4+ T > 350cells/ $\mu$ L presented more chance to maintain CD4+ T cell counts above this threshold during the next three-year follow-up. This result supports that the evaluation of CD4+ T cell count at long-term intervals may not impair the evaluation of cART response in these patients.

## Contributors

I. H. Vogler made substantial contributions to conception and design, data collection, analysis and interpretation of data; drafting the article and reviewing it critically for important intellectual content; and final approval of the version to be published. D. F. Alfieri participated in data collection, analysis and interpretation of data, and final approval of the version to be published. H. D. B. Gianjacomio participated in the laboratory tests and approval of the final version of the manuscript. E. R. D. Almeida participated in the critical review and approval of the final version of the manuscript. E. M. V. Reiche made substantial contributions to conception and design, data collection, analysis and interpretation of data; drafting the article and reviewing it critically for important intellectual content; and final approval of the version to be published.

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## Conflict of interest

The authors declare no conflicts of interest.

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## Resumo

O último consenso brasileiro recomenda reduzir a rotina de contagem de linfócitos T CD4+ para monitorar os pacientes com HIV-1 sob terapia antirretroviral combinada (TARV). O estudo teve como objetivo avaliar a segurança do monitoramento à TARV na infecção pelo HIV-1, realizando a carga viral a intervalos mais curtos e a contagem de linfócitos T CD4+ a intervalos mais longos. Foram avaliadas a contagem de linfócitos T CD4+ e a carga viral do HIV-1 em 1.906 pacientes com HIV-1 em uso de TARV durante um seguimento de três anos. Os pacientes foram estratificados em: resposta sustentada, não sustentada e não respondedores. As proporções de pacientes com linfócitos T CD4+ > 350 células/μL na linha de base do estudo entre de resposta sustentada, não sustentada e não respondedores à TARV e que permaneceram com valores acima desse limiar ao longo do seguimento foram 94,1%, 81,8% e 71,9%, respectivamente. Os pacientes com resposta virológica sustentada e que tinham contagem de T CD4+ > 350 células/μL mostraram maior probabilidade de manter a contagem acima desse limiar durante o seguimento, quando comparados àqueles com T CD4+ ≤ 350 células/μL (OR = 39,9; 95%CI: 26,5-60,2; p < 0,001). O estudo mostrou que pacientes HIV-1+ com resposta virológica sustentada e contagem de linfócitos T CD4+ > 350 células/μL tinham maior probabilidade de manter a contagem de células T CD4+ acima desse limiar durante o seguimento de três anos subsequentes. O resultado corrobora que a contagem de linfócitos T CD4+ com intervalos mais longos não compromete a segurança do monitoramento da resposta à TARV quando a avaliação da carga viral é feita de rotina em pacientes HIV-1+ com resposta virológica sustentada.

Contagem de Linfócito CD4; HIV-1; Terapia Antirretroviral de Alta Atividade; Carga Viral

## Resumen

Las últimas directrices brasileñas recomendaron la reducción de la rutina en el recuento celular CD4+ T para pacientes con el virus de inmunodeficiencia humano tipo 1 (VIH-1), con terapia de combinación antirretroviral (cART por sus siglas en inglés). El objetivo de este estudio fue evaluar la seguridad de la monitorización de la respuesta a la cART en una infección por VIH-1, usando rutinas de carga viral en intervalos más cortos y recuento celular CD4+ T en intervalos más largos. Se evaluaron el recuento celular CD4+ T y la carga viral VIH-1 en 1.906 pacientes infectados con VIH-1 y con cART durante un seguimiento que duró tres años. Los pacientes fueron estratificados como constantes, inconstantes y sin respuesta. La proporción de pacientes que mostraron CD4+ T > 350 células/μL en el estudio entran dentro del grupo de los constantes, inconstantes y sin respuesta al cART, y quienes permanecieron con valores por encima de este umbral durante los seguimientos fueron 94,1%, 81,8% y 71,9%, respectivamente. Los pacientes infectados por VIH-1 que cuentan con la respuesta virológica constante y tienen un recuento inicial CD4+ T > 350 células/μL mostraron una oportunidad más alta de mantener el recuento de estas células por encima del umbral durante los seguimientos, respecto a quienes presentaban CD4+ T células ≤ 350 células/μL (OR = 39,9; IC95%: 26,5-60,2; p < 0,001). Este estudio expuso que los pacientes infectados por VIH-1, que habían tenido una respuesta virológica constante e inicial CD4+ T > 350 células/μL, eran más propensos a mantener el recuento de células CD4+ T por encima de este umbral durante los tres años posteriores de seguimiento. Este resultado destaca que la evaluación del cómputo de células CD4+ T en intervalos más largos no obstaculiza la seguridad al realizar una monitorización en la respuesta a cART, cuando la evaluación de la carga viral rutinaria se realiza en pacientes infectados por VIH-1 con una respuesta virológica constante.

Recuento de Linfocito CD4; VIH-1; Terapia Antirretroviral Altamente Activa; Carga Viral

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